



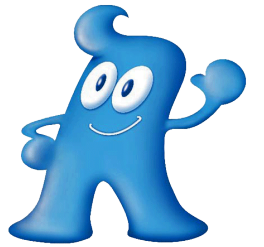


Article

## MECHANICS OF DORMANCY IN SEEDS†

Wm. Crocker

† Invitation paper read before the Botanical Society of America and affiliated societies at Columbus, December 29, 1915.



New  
Phytologist

Review



*Tansley review*

## Seed dormancy and the control of germination

William E. Finch-Savage<sup>1</sup> and Gerhard Leubner-Metzger<sup>2</sup>


*Seed Science Research*

[cambridge.org/ssr](http://cambridge.org/ssr)

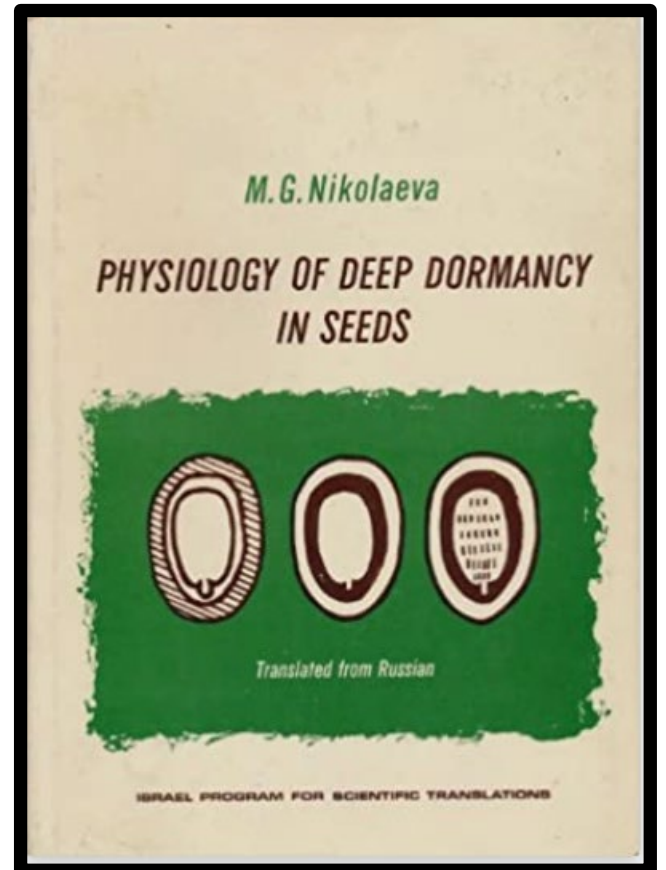
**Review Paper**

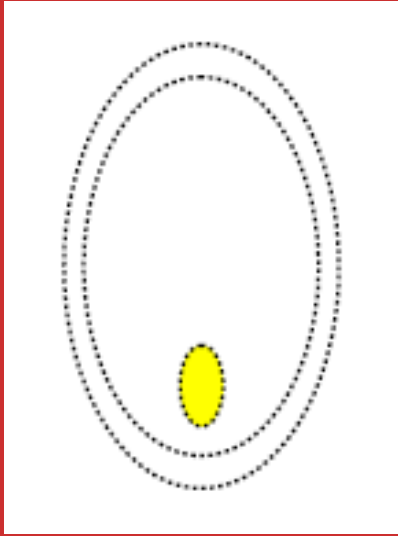
Cite this article: Baskin JM, Baskin CC (2021). The great diversity in kinds of seed dormancy: a revision of the Nikolaeva–Baskin classification system for primary seed dormancy. *Seed Science Research* 1–29.

## The great diversity in kinds of seed dormancy: a revision of the Nikolaeva–Baskin classification system for primary seed dormancy

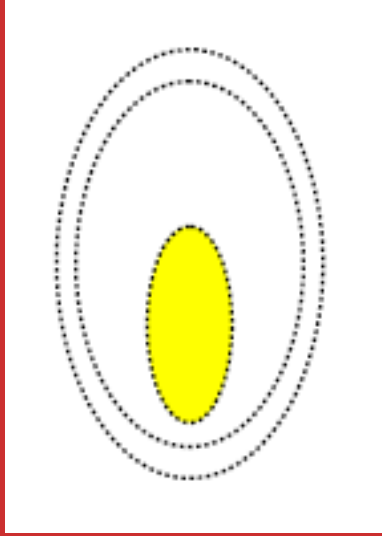
Jerry M. Baskin<sup>1</sup> and Carol C. Baskin<sup>1,2\*</sup> 

<sup>1</sup>Department of Biology, University of Kentucky, Lexington, KY 40506-0225, USA and <sup>2</sup>Department of Plant and Soil Sciences, University of Kentucky, Lexington, KY 40506-0312, USA





Morphological

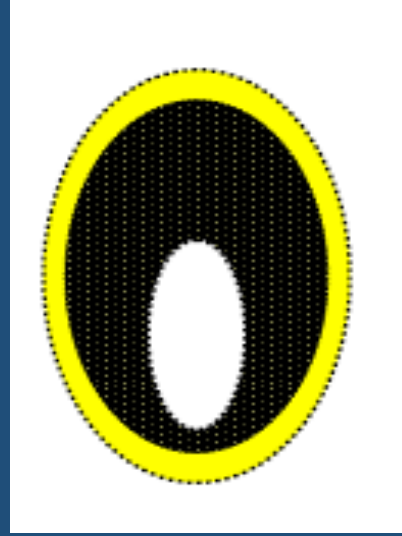


Physiological

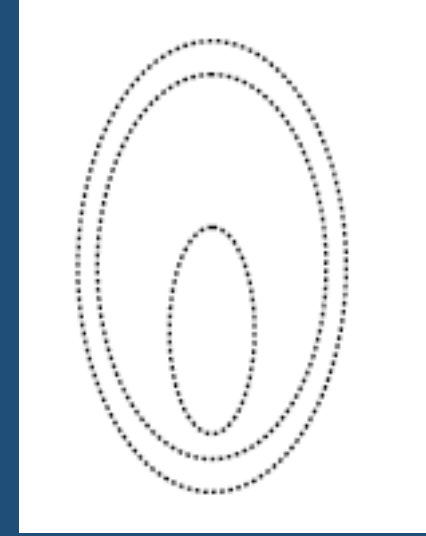
Morpho-physiological

**Coexist with other traits**

- Desiccation sensitive (Recalcitrant)
- epicotyl dormancy



Physical



Combinational  
(Physical plus physiological)

**Do not coexist with other traits**

MD= morphological dormancy; PD=physiological dormancy; MPD= morphophysiological dormancy;  
PY= physical dormancy; PY+PD or PYPD= combinational dormancy

**PY occurs due to the impermeable nature of the seed/fruit coat.**

Thus, structures such as endosperm, cotyledon, embryo would not influence PY.

But, these structures influence PD in PY+PD.



**Table 1.** Seed dormancy classes in different *Quercus* subgenera and sections.

Scheme	Subgenus (Section)	Root Dormancy	Shoot Dormancy	Dormancy Class
<i>Q. acutissima</i> Carruth. [11]	<i>Cerris</i> ( <i>Cerris</i> )	PD		PD
<i>Q. cerris</i> L. [12]	<i>Cerris</i> ( <i>Cerris</i> )	ND	DD	DD
<i>Q. suber</i> L. [12,13]	<i>Cerris</i> ( <i>Cerris</i> )	ND	PD	epicotyl PD
<i>Q. variabilis</i> Blume [12]	<i>Cerris</i> ( <i>Cerris</i> )	ND	DD	DD
<i>Q. annulata</i> Sm. [12]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	ND	DD	DD
<i>Q. austrocochinchinensis</i> Hickel and A.Camus [14]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	ND	DD	DD
<i>Q. camusiae</i> Trel. ex Hickel & A.Camus [12]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	PD/PY *	DD	PD/PY *
<i>Q. chungii</i> F.P.Metcalf [15]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	ND	DD	DD
<i>Q. fleuryi</i> Hickel and Camus [12]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	PD/PY *	DD	PD/PY *
<i>Q. glauca</i> Thunb. [12]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	PD/PY *	DD	PD/PY *
<i>Q. glaucoides</i> M.Martens and Galeotti [16]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	PD		PD
<i>Q. multinervis</i> Cheng & Hong [12]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	PD/PY *	DD	PD/PY *
<i>Q. schottkyana</i> Rehd. and Wils. [12]	<i>Cerris</i> ( <i>Cyclobalanopsis</i> )	PD/PY *	DD	PD/PY *
<i>Q. aquifolioides</i> Rehd. and Wils. [17]	<i>Cerris</i> ( <i>Ilex</i> )	ND	ND	ND
<i>Q. floribunda</i> Wall. [18–20]	<i>Cerris</i> ( <i>Ilex</i> )	ND	ND	ND

# Physical dormancy in recalcitrant seeds?

Sun et al. (2021) *Forests*

Annals of Forest Science (2021) 78:10  
<https://doi.org/10.1007/s13595-021-01032-9>

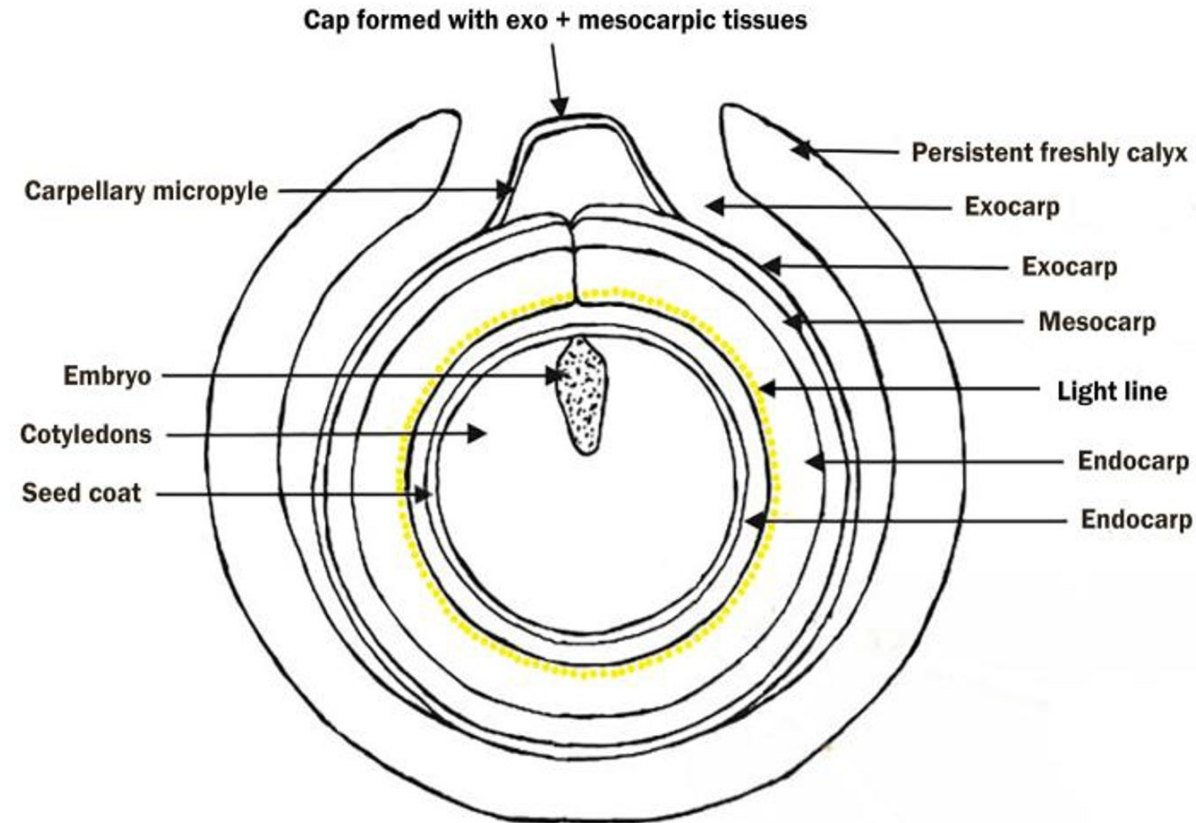
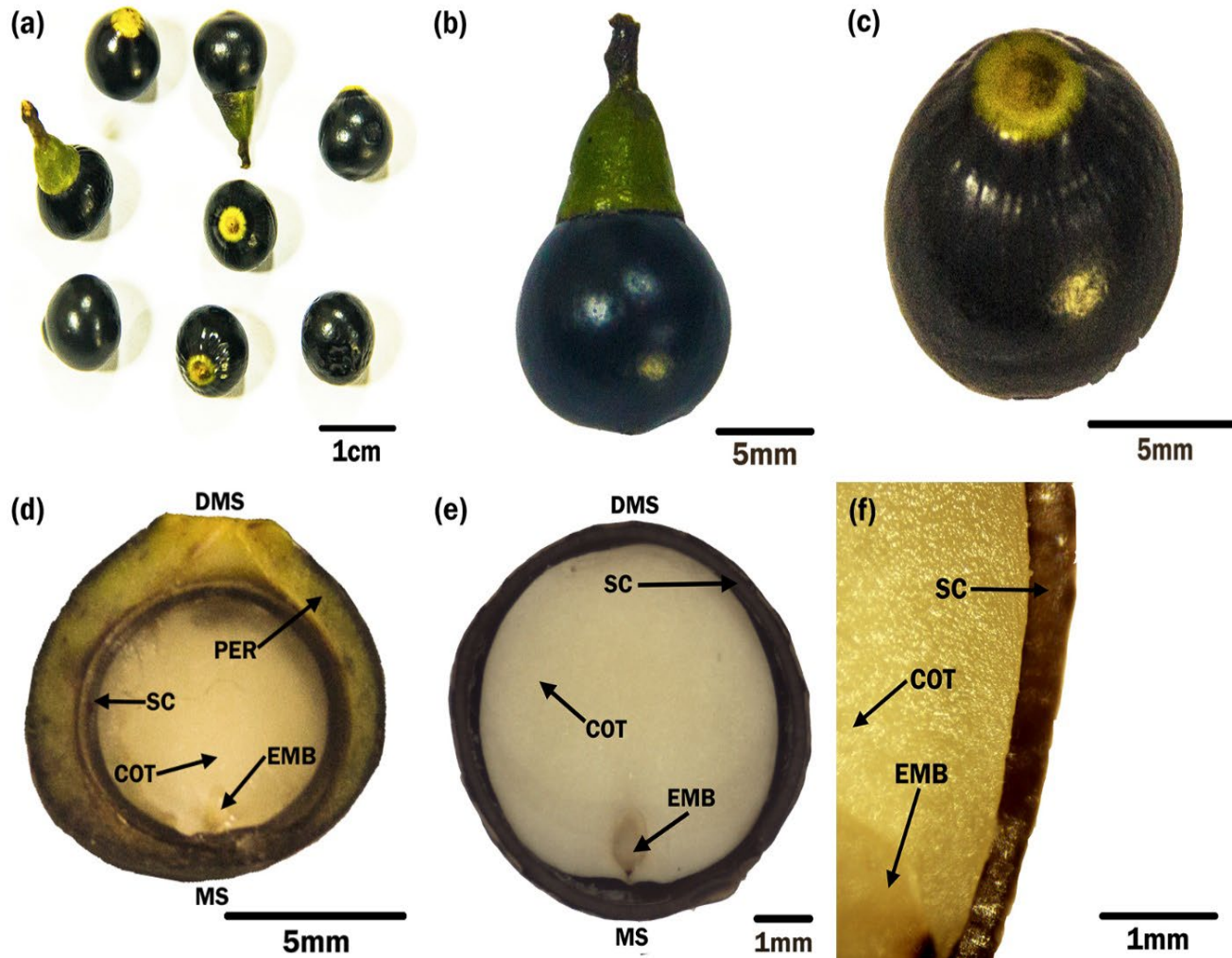
REVIEW PAPER



## Ecological insights into the coexistence of dormancy and desiccation-sensitivity in *Arecaceae* species

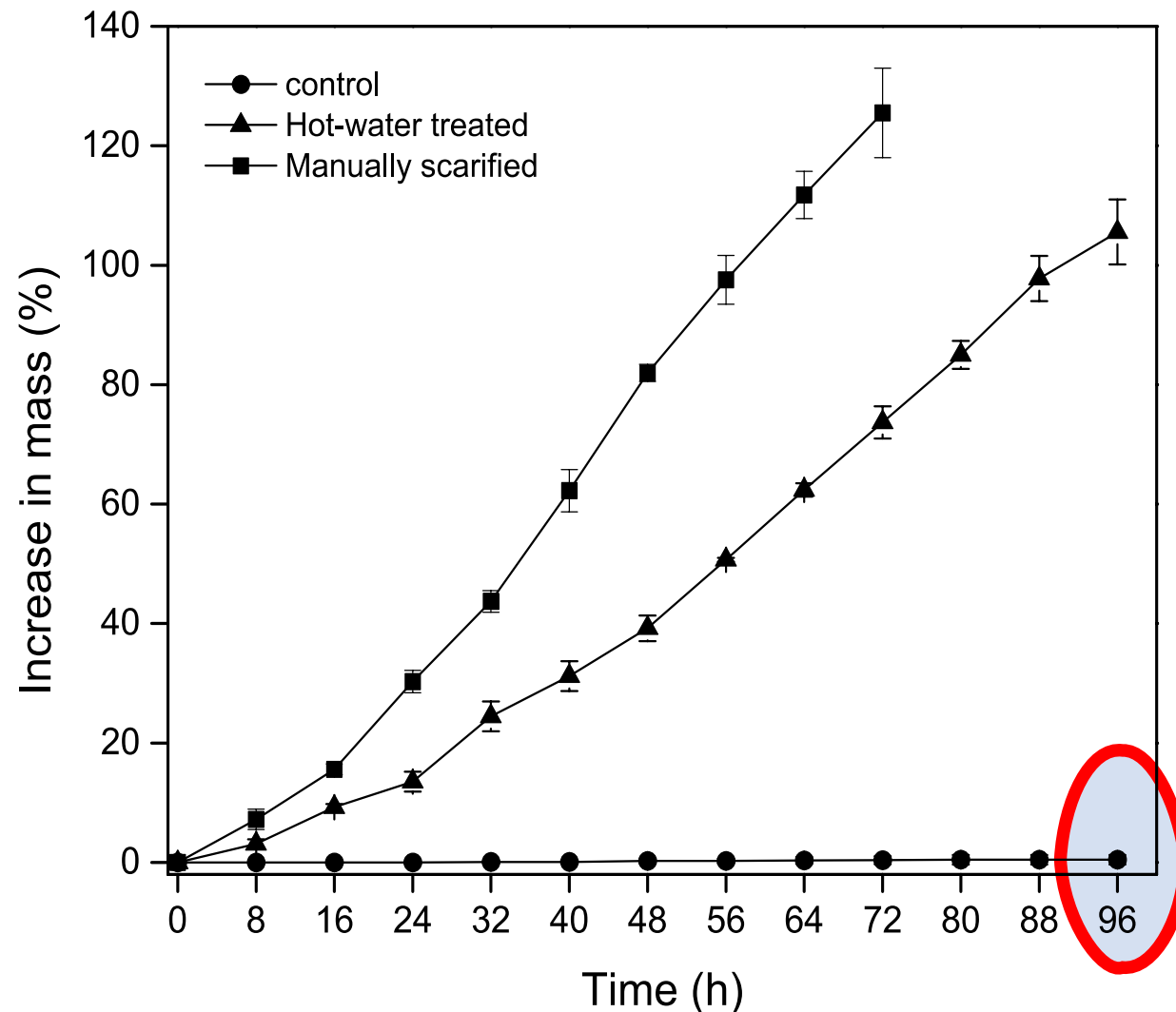
Ganesh K. Jaganathan<sup>1</sup>

# Physical barrier ≠ Physical dormancy



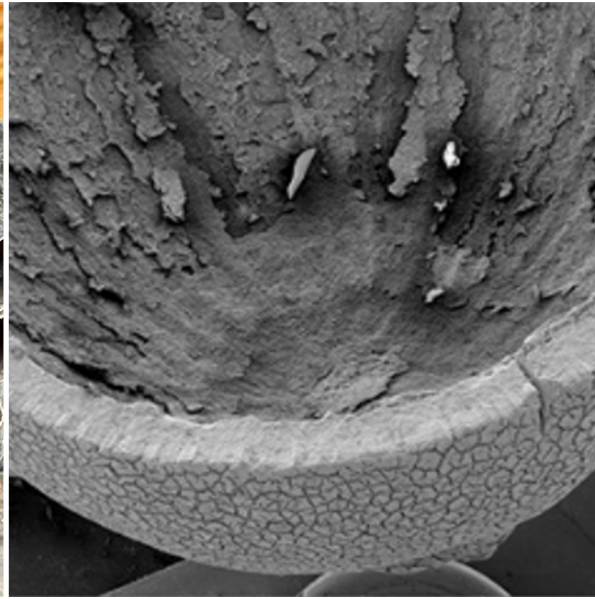
Structure of *Cinnamomum camphora* (a) collection of fruits showing different size; (b) a single fruit with attachments; (c) a single fruit without attachments; (d) cross-section of a fruit with pericarp; (e) cross-section of a fruit without pericarp and (f) close-up of seed coat. Sc, seed coat; COT, cotyledon, EMB, embryo, PER, pericarp; MS, micople side; D MS, distal micople side.

# The only way to confirm physical dormancy in a species is by conducting an imbibition test



Percentage increase in mass of non-treated intact (control), hot-water treated and mechanically scarified *Delonix regia* seeds on moist filter paper incubated at ambient conditions. Error bars represent the standard deviation of the mean.





In the past five decades...



**(1) environmental conditions/factors required for the development and breaking of PY**



- Rainfall
- Temperature
- Relative humidity
- Diurnal temperature fluctuation

**(2) structures involved in the development and breaking of impermeable coats; and**



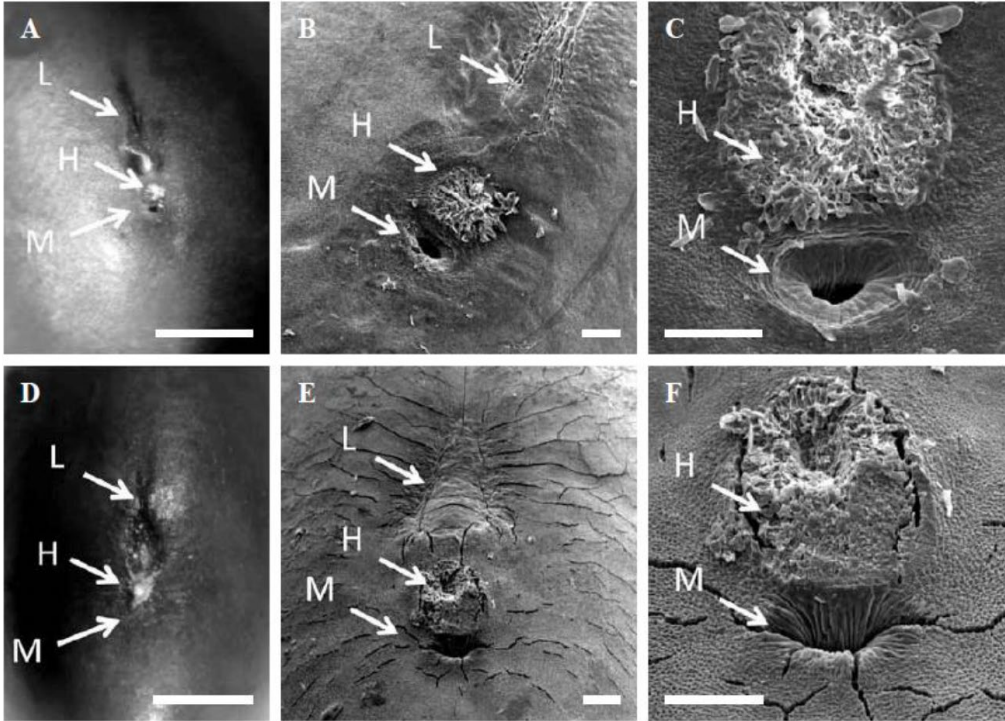
- Micropyle
- Hilum
- Light line
- Water-gap structures, e.g. Lens

**(3) association with other fitness traits such as desiccation-sensitivity (DS), predation and defense.**

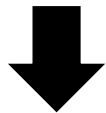


- DS and PY are mutually exclusive traits
- PY invest little in chemical defense
- Impermeable seed coat offers protection against predation and persistence

## During development

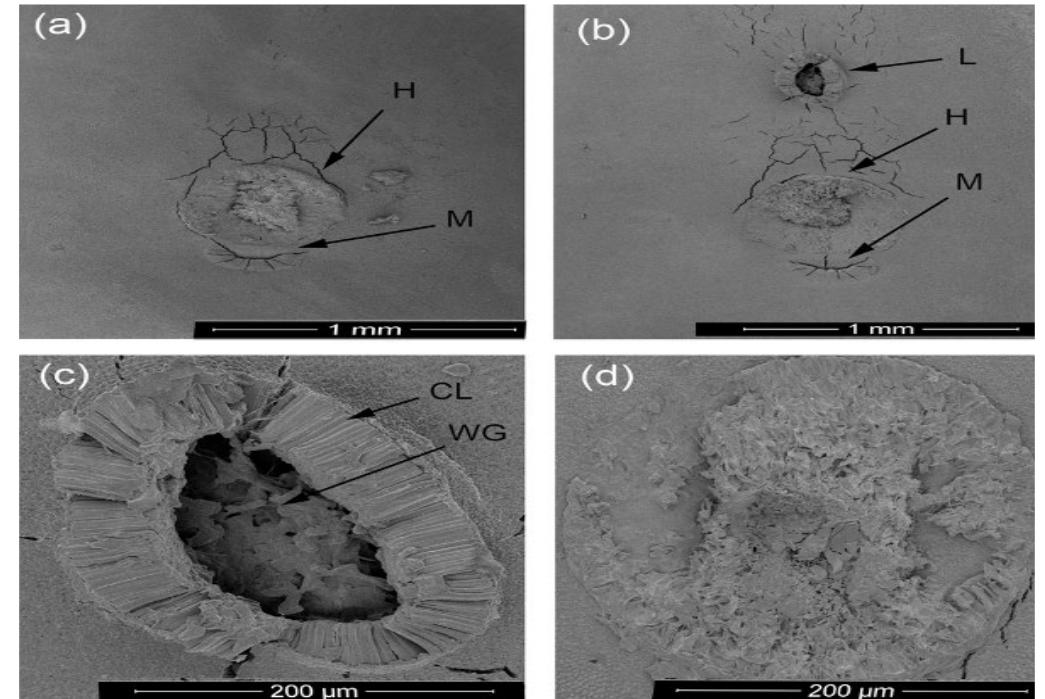


Seed coat closes  
Hilum closes (Lens become more distinct)  
Micropyle closes

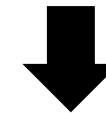


Seeds become impermeable

## During dormancy-break



Water-gap opens  
Water enters and hydrates embryo



Seeds become permeable

- 1) Evolutionary origin
- 2) Primary and secondary dormancy
- 3) Global distribution
- 4) Dormancy-cycling/subdivision

Some authors even proposed impermeable seed coat is not a form of dormancy



Credit:strongbrands

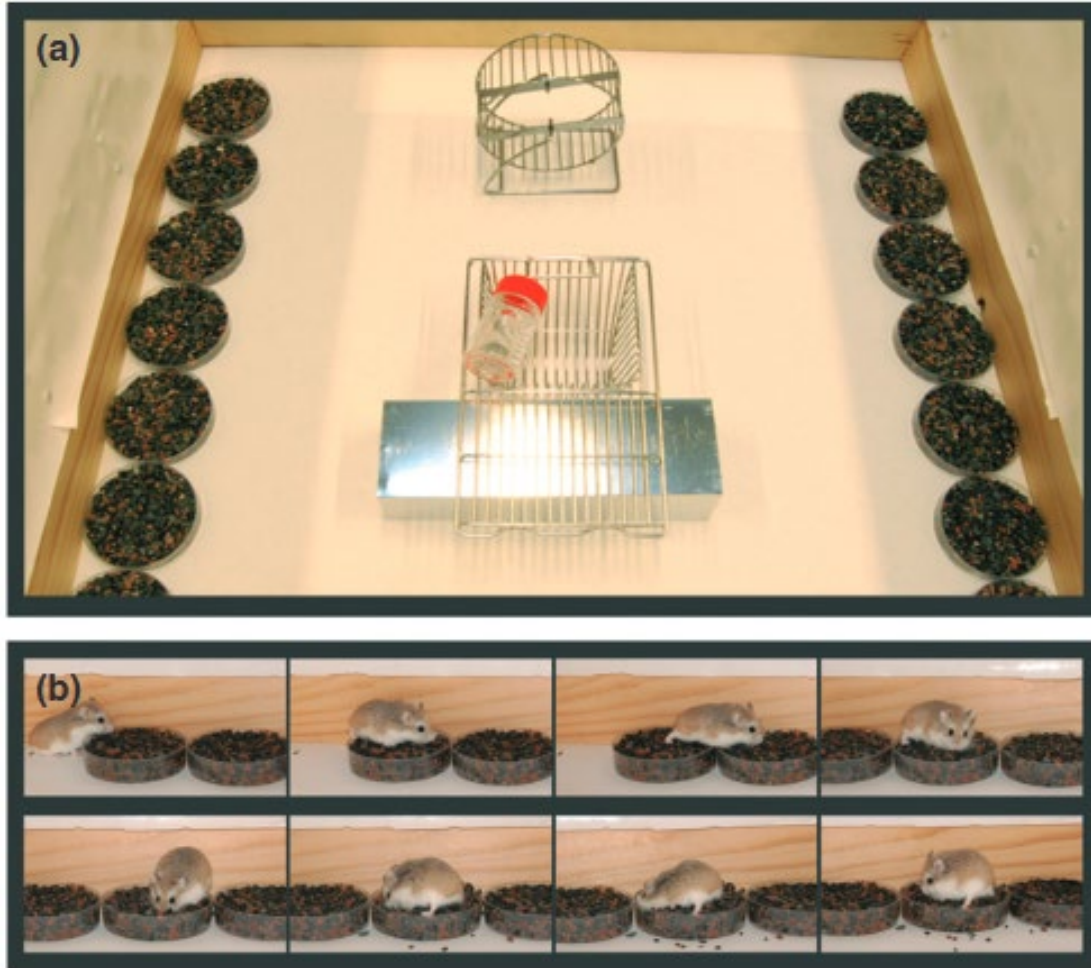
# Conundrum 1

Evolutionary origin



# Evolution of impermeable seeds

Factors	Support	Against
<b>Fire</b>	Keeley et al. (2011) Trends Plant Sci; Pausas and Lamont (2022) Biol Rev	Bradshaw et al. (2011) Trends Plant Sci; Also see Jaganathan (2015) Seed Sci Res
<b>Endozoochory</b>	Temple (1977) Science	Jaganathan (2016) Perpsp Plant Ecol Evol Syst
<b>Crypsis</b>	Paulsen (2014) J Ecology Paulsen (2015) Seed Sci Res	Jayasuriya et al. (2015) Seed Sci Res; Jaganathan (2018) Ecol Res
<b>Protection during persistence</b>	Brancalion (2010) Ann. Bot; Filip Vandeloos (2021) ISSS conference	Jaganathan et al. (2018) Ann. Bot Plants



**Fig. 1** The arena. (a) The set-up of the wooden arena in which the hamsters were offered seed caches and which included a nest box filled with nesting material, a running wheel, and a water bottle. (b) The hamsters easily find and harvest buried seed caches using olfaction.

Paulsen et al. (2014) *New Phytologist*

- Does not explain year-to-year variation
- Does not explain why other species did not evolve impermeable coats, e.g. pine
- Does not explain the long-term persistence
- Chance event?

**The same applies to all factors**





Byron B. Lamont

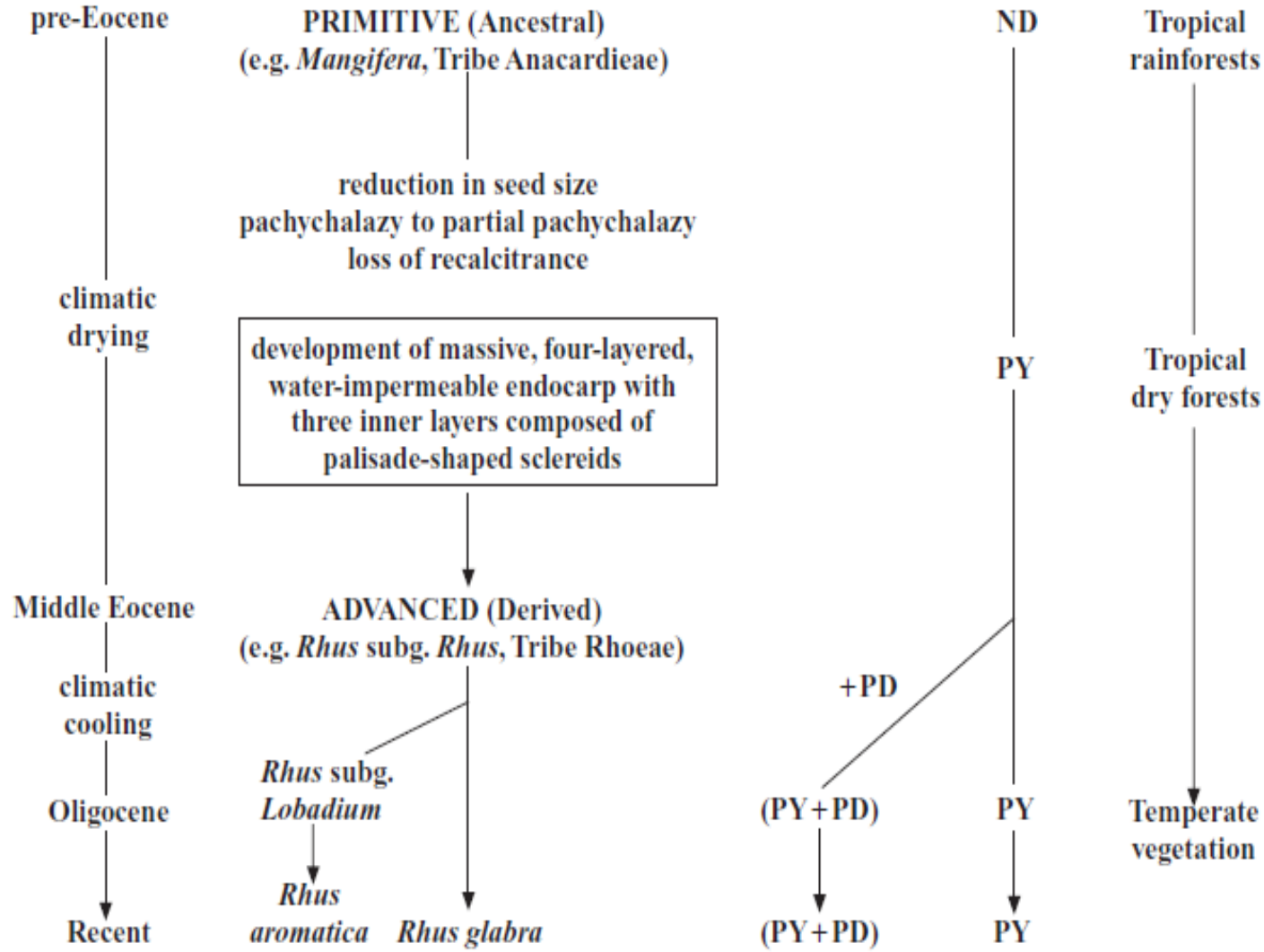
Since seed dormancy is usually considered as just under climate control, non-soil-stored seeds subject to frugivory or fire-caused heat release (serotiny) have been ignored in global syntheses, but there is a case for considering them as examples of physical dormancy.



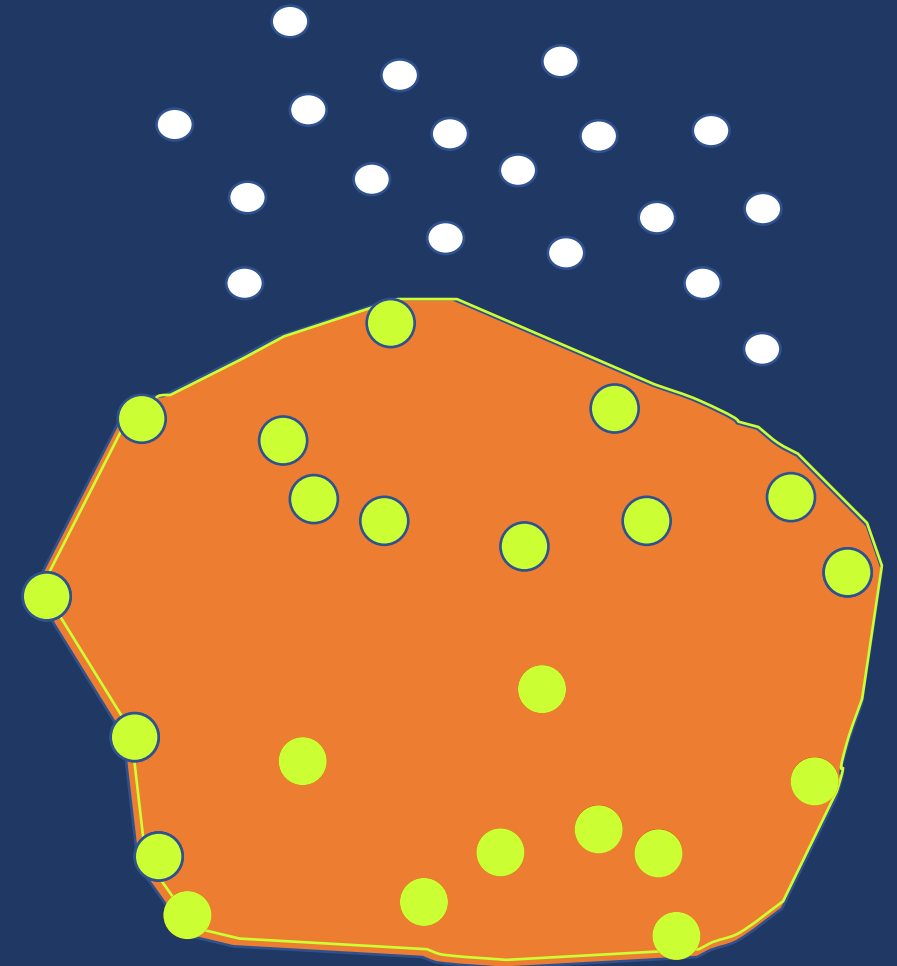
Juli G. Pausas

“Physical dormancy-break is not tied to fire in the Cerrado mosaic.”  
One limitation to serotiny is that when fire intervals are very long and plants die before the next fire, seeds are released but seldom recruit successfully in the unburned vegetation

Some evolutionary trends in fruits/seeds of Anacardiaceae



# Climate drying?



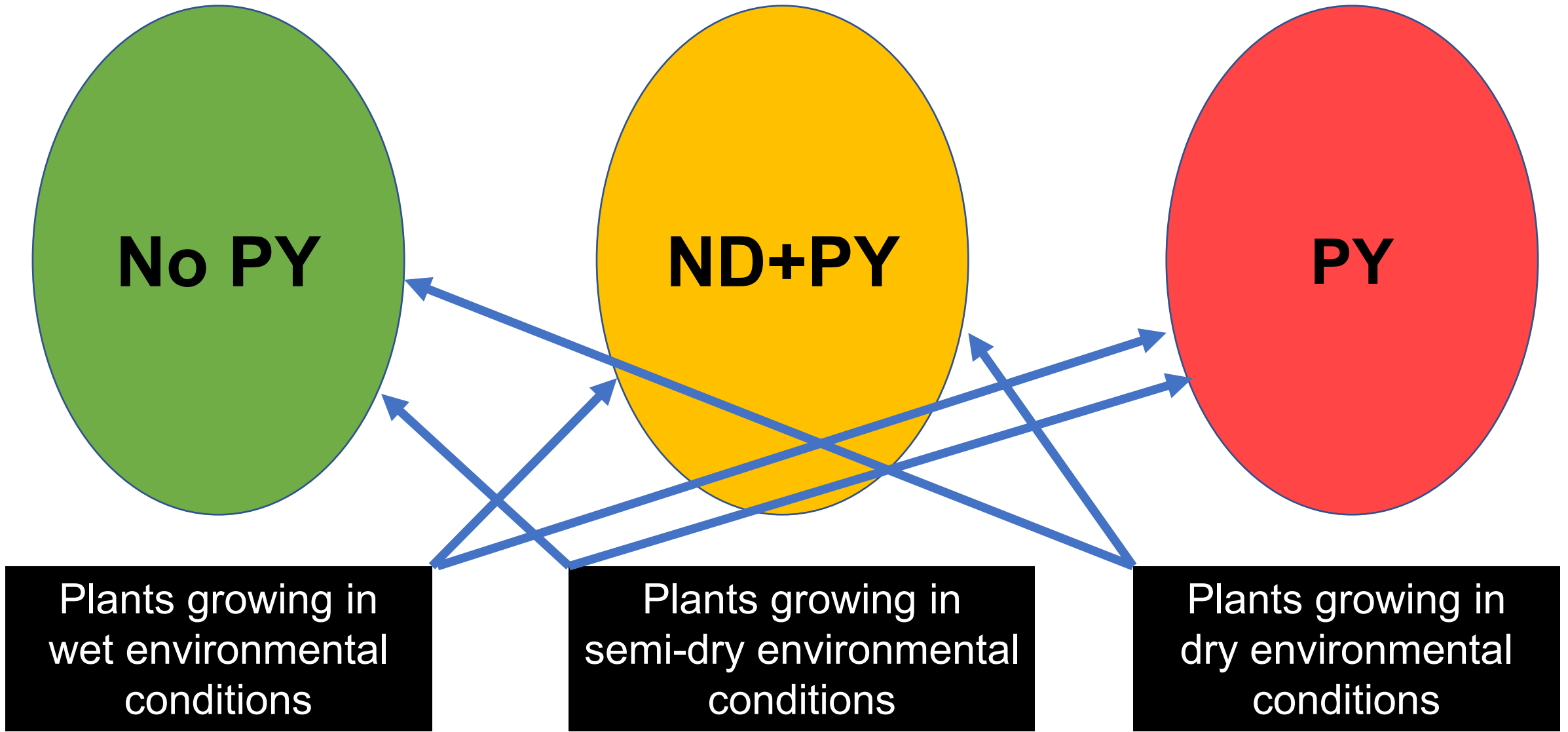


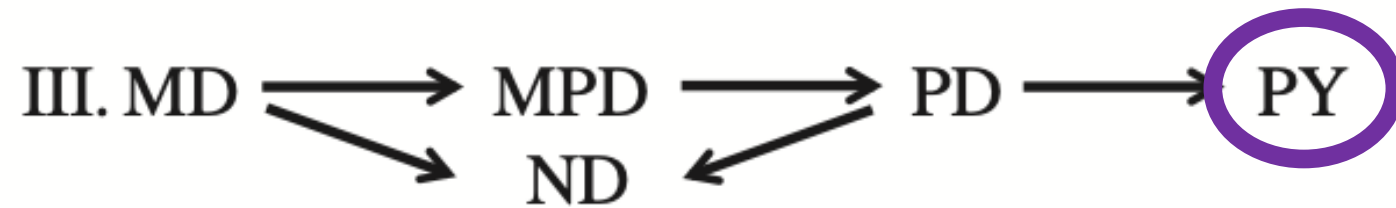
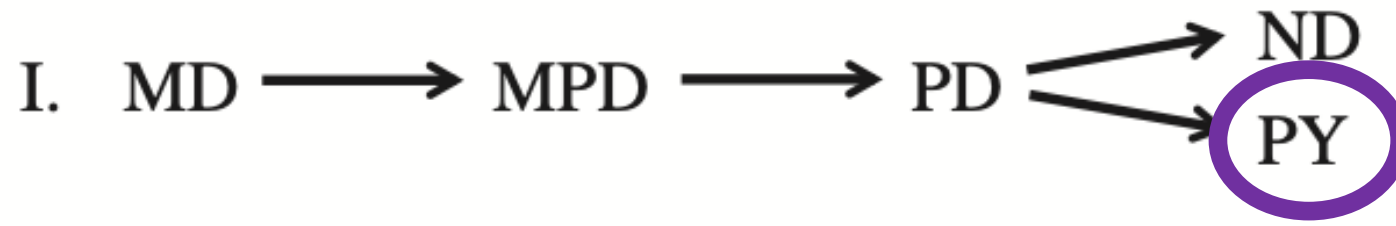
# What about protection after dispersal

- PY seeds can persist in the soil for a long time
- Microorganisms cannot attack the internal parts of the seeds
- Can disperse to secondary environments
- Protect from acid environments of the animal intestine (gut).

# Quinlivan states

The length of the growing period in the spring months appears to be a critical factor in the development of hardseededness. Environments with relatively long spring growing periods cause a higher proportion of hard seeds to form at field maturity, and increase the resistance which these hard seeds are capable of offering to the softening effects of the following summer environment. During the dry summer period the rate of softening of hard seeds is determined, not only by the previous growing season but also by the summer environment itself. Hot summer environments with wide soil surface temperature fluctuations are conducive to a relatively rapid rate of softening.





From Willis et al. (2014) *New Phytol*

Regardless of the ancestral state, PY is definitely a derived trait, most likely evolved from PD



# Resolving Conundrum 1

One of the main reasons to accept climate change as the evolutionary factor of PY evolution is the effect on all plants in a particular area. No other factor described as the driving force for the evolution of PY acts on all plants. Thus, only a proportion of seeds face those factors during seed maturation and dormancy break. For example, the fire could act on species only in fire-prone areas.



# Conundrum 2

Redefining primary and  
secondary dormancy

# Defining primary and secondary dormancy

Primary dormancy : Seeds that are dormant at the time of dispersal

Secondary dormancy: Seeds that are dormant at the time of dispersal, lose dormancy and re-enter dormancy

According to Soltani *et al.* (2019) there are no known cases of non-dormant seeds becoming dormant after dispersal. If any such cases are to be found, they should be referred to as 'enforced dormancy'.

Is this only for physiological dormancy? What about physical dormancy



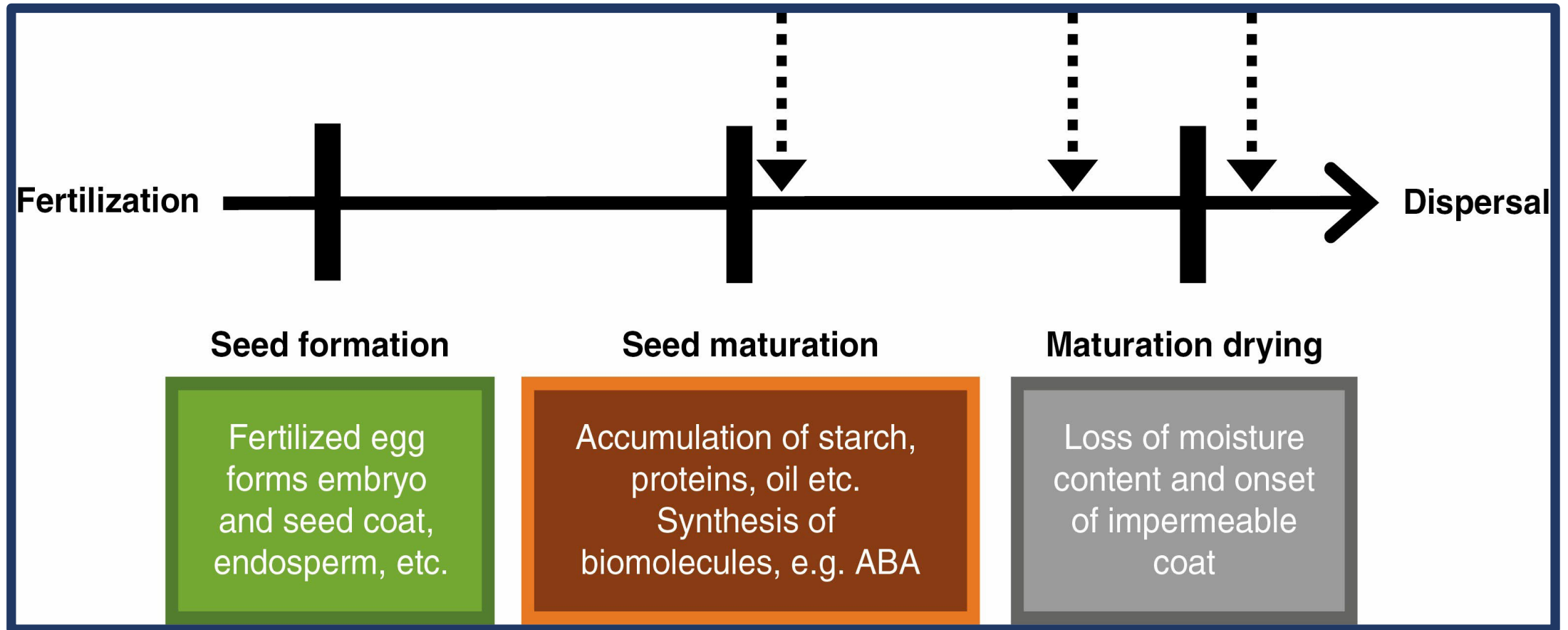
Elias Soltani



Review Paper

**A review of the relationship between primary and secondary dormancy, with reference to the volunteer crop weed oilseed rape (*Brassica napus*)**

E Soltani ✉, J M Baskin, C C Baskin



*Annals of Botany* **130**: 121–129, 2022  
<https://doi.org/10.1093/aob/mcac084>, available online at [www.academic.oup.com/aob](http://www.academic.oup.com/aob)

ANNALS OF  
**BOTANY**  
Founded 1887

VIEWPOINT

**Unravelling the paradox in physically dormant species: elucidating the onset of dormancy after dispersal and dormancy-cycling**

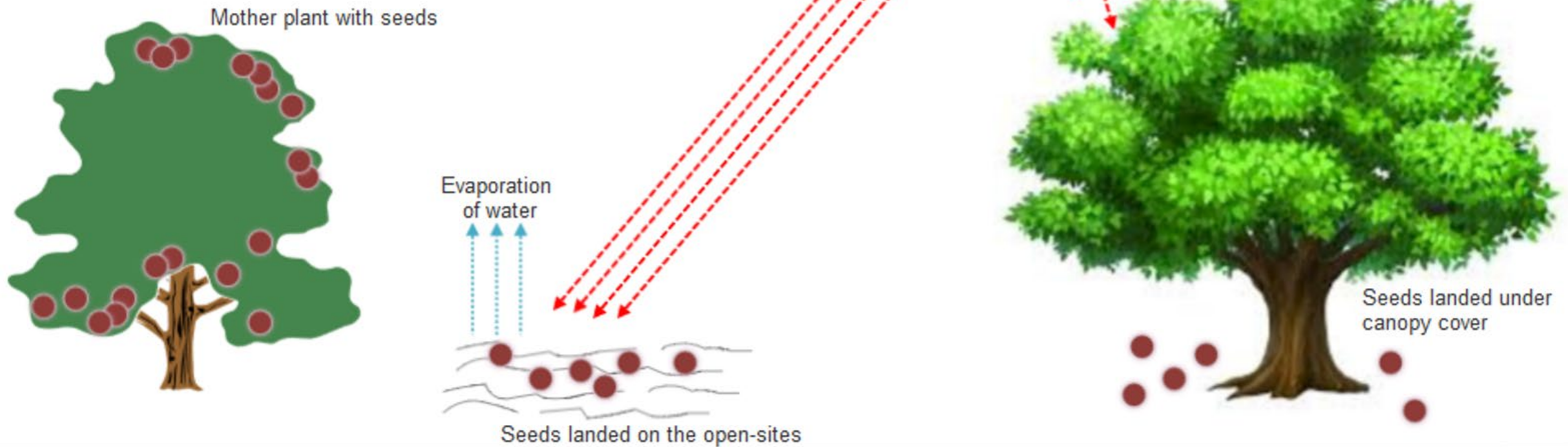
**Ganesh K. Jaganathan\***

*Germplasm Conservation Laboratory, University of Shanghai for Science and Technology, Shanghai 200093, China*

\* E-mail: [jganeshcbe@gmail.com](mailto:jganeshcbe@gmail.com)



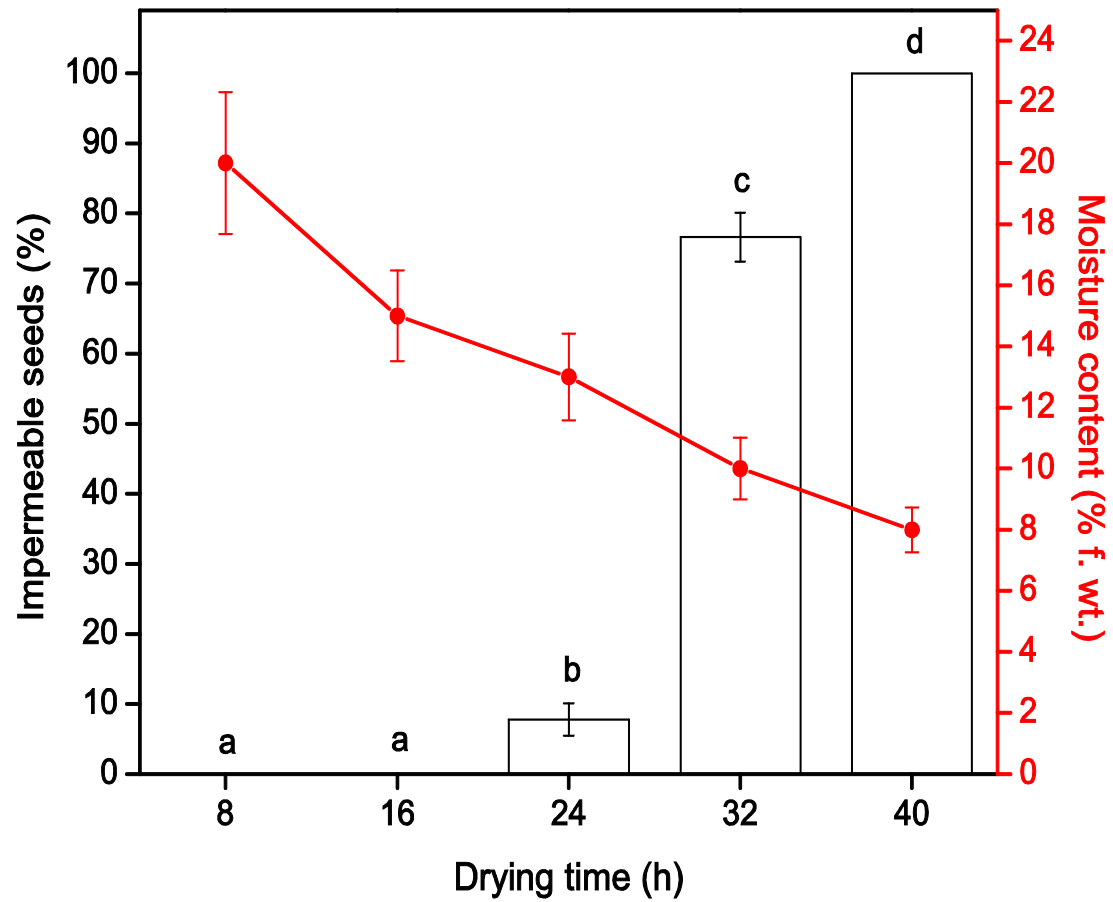
- Seeds in the open site will face warm and dry conditions during persistence
- Open sites will have serious impact in a warmer climate due to high evaporation of water, high temperature for dormancy break
- The effects of seeds under canopy cover is unknown







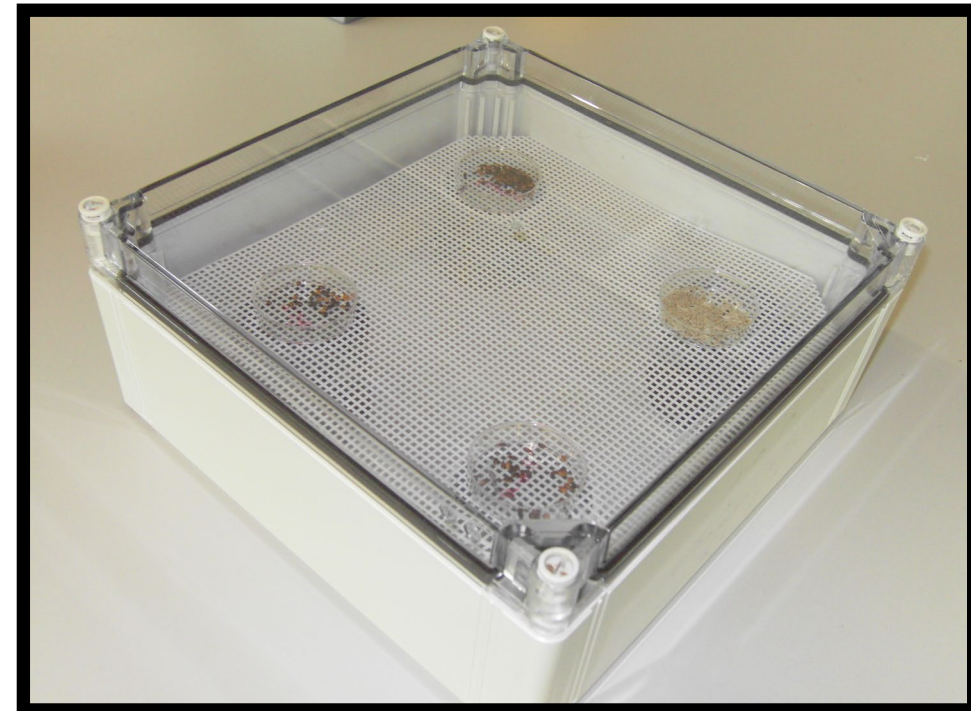
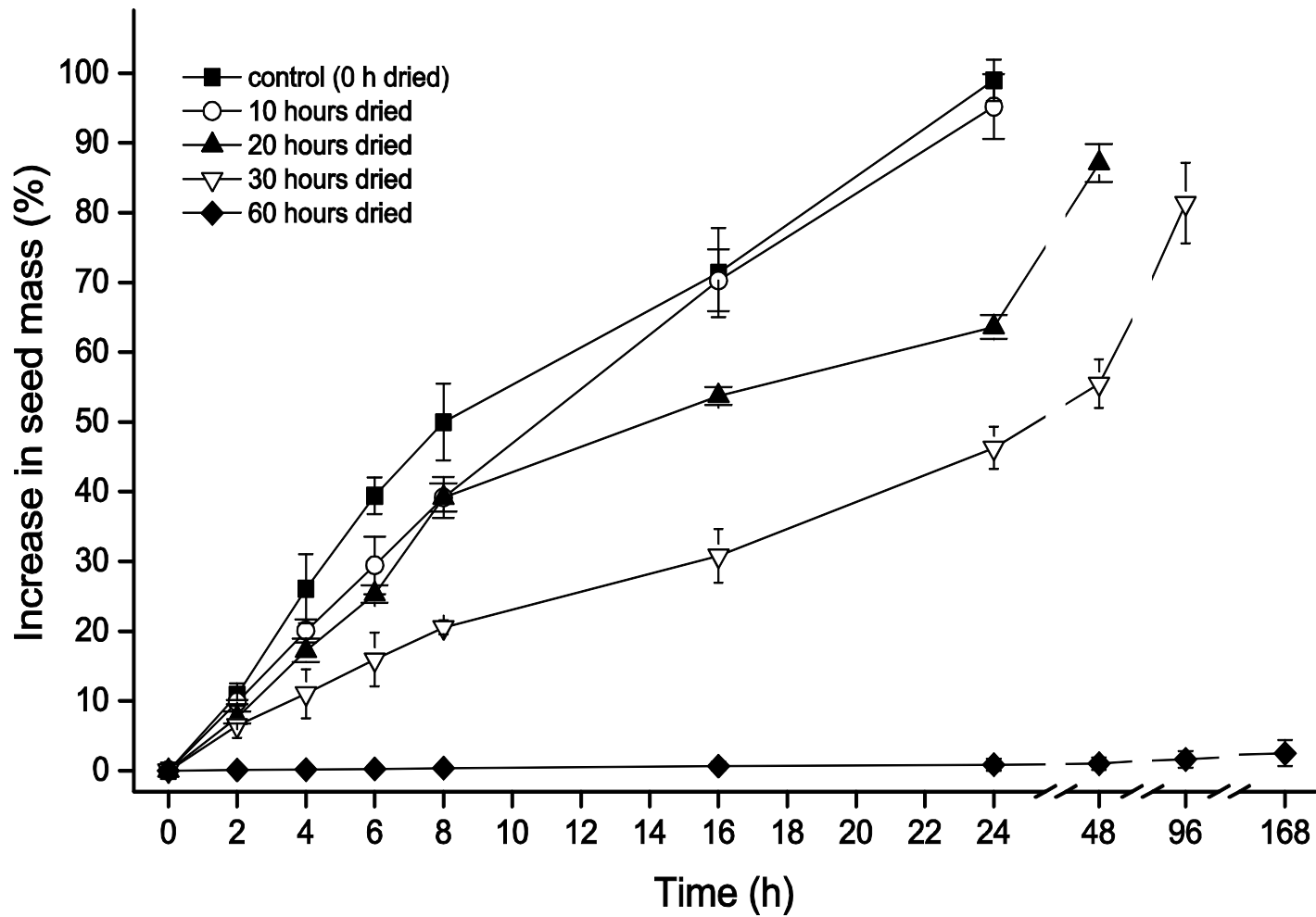
Photographs of seed maturation temperature measurement in *Acacia chundra*. The pods are undergoing maturation drying, the thermocouples were fixed in a way they sit close to the pod (a), and these thermocouples are then attached to a data logger in the research station (b).



The proportion of impermeable *Nelumbo nucifera* seeds at different moisture contents. Different letters indicate a statistically significant difference in the number of impermeable seeds at the different moisture contents. Error bars indicate the standard deviation of the mean







Percentage increase in *Astragalus adsurgens* seed mass when placed in a moist environment after being dried to different periods above silica gel. Error bars represent the standard deviation



Robert L. Geneve

Anatomical observations suggest that the micropyle in all species except eastern redbud remains open late in seed development to aid in seed desiccation coincident with acquisition of physical dormancy.

This agrees with the previous and subsequent observations including Hyde (1954) *Ann. Bot.*, Gladstone (1958) *Aus J Agri Res.*



# Resolving Conundrum 2

Permeable seeds can become impermeable after dispersal. Therefore, **primary dormancy is not a requirement for the development of dormancy subsequently.** Consequently, 'enforced dormancy' is a known case, perhaps, much more common than previously thought. But some refinement is needed to define dormancy.

This ability to dry and become dormant after dispersal may affect how the species with physical dormancy survive any future change in climate.

# Conundrum 3

Global distribution

Analyses of a large data set of legumes (n = 216 000 observations of 532 species) revealed a clear association between seed dormancy, seed size and seasonality. Dormancy was prevalent in temperate, seasonal environments, while nondormant seeds were concentrated in the tropics.

Rubio de Casas et al. (2017)

- Our findings for species with physically dormant seeds (both PY and PYPD) corresponded with those of Rubio de Casas et al. (2017): these species produce small seeds, and **occur predominantly outside of the tropics**, where there are comparatively low minimum temperatures and high frequencies of frosts
- For the Fabaceae, it appears that **PY may be disadvantageous in tropical habitats**, and so PD seems to be the ‘solution’ to precipitation seasonality in those environments.

## Seed dormancy and storage behaviour in tropical Fabaceae: a study of 100 species from Sri Lanka

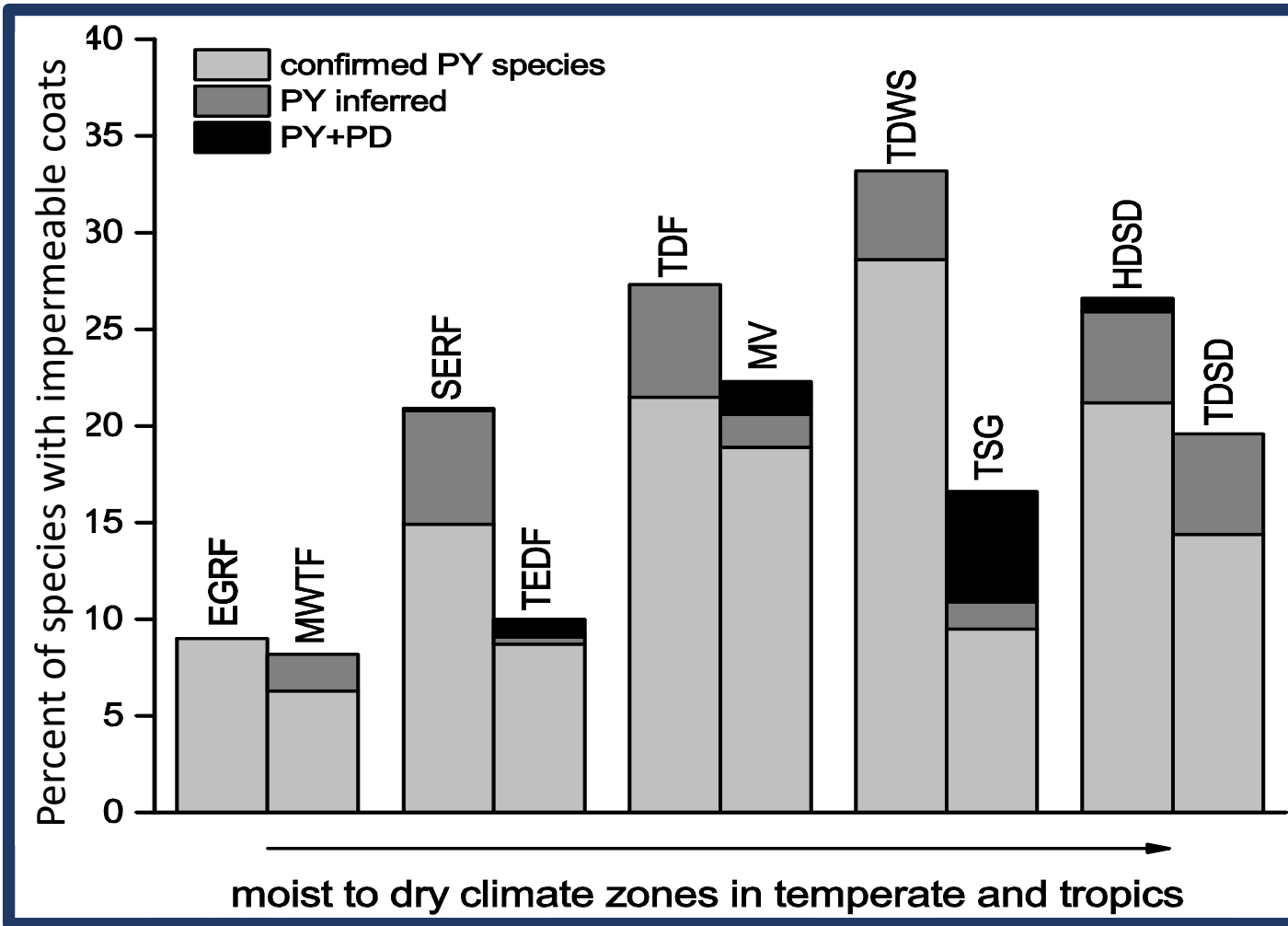
K.M.G. Gehan Jayasuriya<sup>1\*</sup>, Asanga S.T.B. Wijetunga<sup>2</sup>, Jerry M. Baskin<sup>3</sup> and Carol C. Baskin<sup>3,4</sup>

<sup>1</sup>Department of Botany, University of Peradeniya, Peradeniya, Sri Lanka; <sup>2</sup>Department of Biology, Rajarata University of Sri Lanka, Mihintale, Sri Lanka; <sup>3</sup>Department of Biology, University of Kentucky, Lexington, Kentucky 40506-0225, USA; <sup>4</sup>Department of Plant and Soil Sciences, University of Kentucky, Lexington, Kentucky 40546-0321, USA

Out of 100 Fabaceae species  
tested, 86 had physical dormancy

## List of species with confirmed physical dormancy in alpine regions

Species	Family	Dormancy type
<i>Anthyllis alpicola</i>	Fabaceae	PY
<i>A. subpolaris</i>	Fabaceae	PY
<i>Astragalus cottonii</i>	Fabaceae	PY
<i>Geranium albiflorum</i>	Geraniaceae	PY
<i>Hedysarum arcticum</i>	Fabaceae	PY
<i>H. occidentale</i>	Fabaceae	PY
<i>Lupinus latifolius</i>	Fabaceae	PY
<i>Luzula spicata</i>	Juncaceae	(PY?) +PD*
<i>Oxytropis sordida</i>	Fabaceae	PY
<i>O. viscida</i>	Fabaceae	PY
<i>Trifolium nanum</i>	Fabaceae	PY
<i>T. pallescens</i>	Fabaceae	PY
<i>Vicia amoena</i>	Fabaceae	PY
<i>V. unijuga</i>	Fabaceae	PY
<i>V. angustifolia</i>	Fabaceae	PY



- Tropical and sub-tropical zones
- EGRF-evergreen rain forest
- SEGRF-semi-evergreen rain forest
- TDF-tropical deciduous forest
- TDWS-tropical dry woodland and savanna
- HDSD-hot desert and semi-desert
- Temperate zones
- MWTF-moist, warm temperature woodland
- TDW-temperate deciduous woodland
- MV-matorral vegetation
- TDSD-temperate desert and semi-desert





**Distribution of physical dormancy in various life-forms across tropical and temperate ecosystems. Values given in parenthesis are the percentage of inferred species. NDE- no details exist.**

<b>Life form</b>	<b>Tropical Ecosystem</b>	<b>Temperate Ecosystem</b>
Trees	15.2 (5)	6.8 (0.9)
Shrubs (and bamboos)	27.8 (6.2)	18.9 (0.2)
Lianas and vines	22.3 (3)	20 (3.4)
Herbs and epiphytes	21.7 (4)	10.5 (2.4)
Weeds	18.2 (0)	26.9 (0)
Annuals	23.5 (1.3)	NDE
Non-weeds	NDE	8.8 (1.2)
Forbs	NDE	19.8 (1.7)
Graminoids	NDE	0

# Resolving Conundrum 3

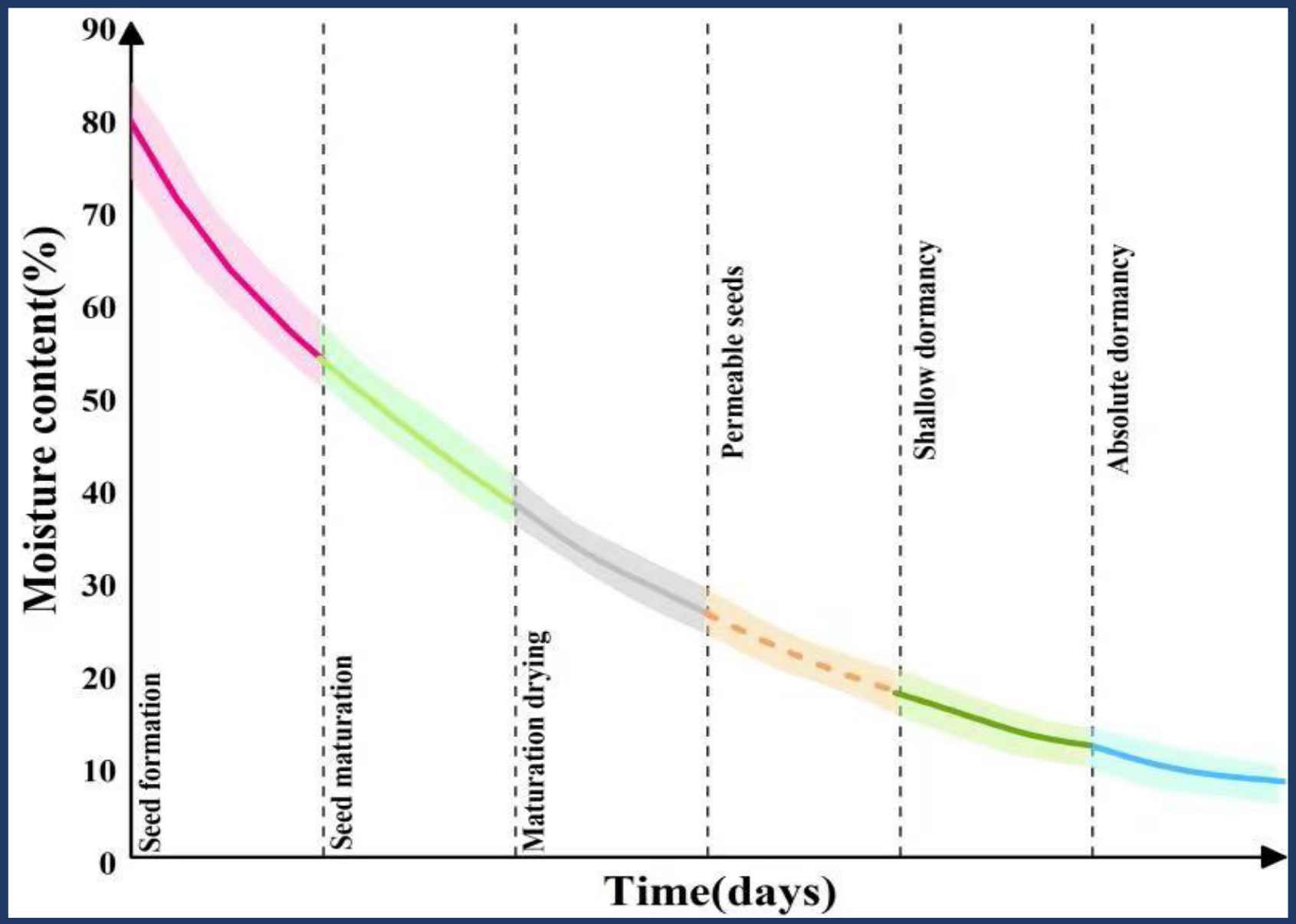
Physical dormancy is arguably more common in the tropics, particularly in tropical drylands. Contradictory to previous claims, temperate ecosystems may have less physical dormant species. Claiming physical dormancy '*may be*' disadvantageous in the tropics is redundant.

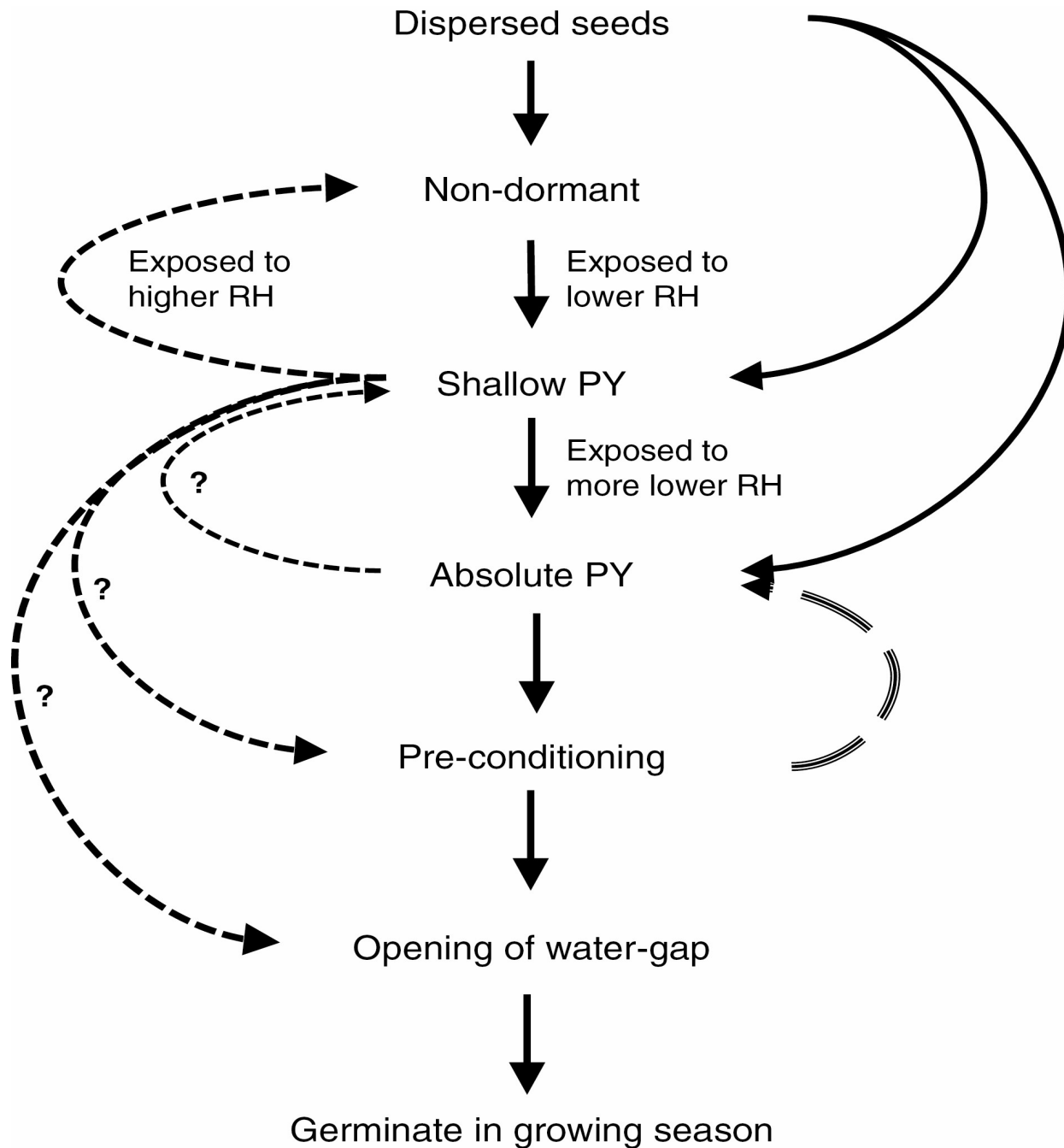
More studies on Africa, South America, and Asia are required to document the presence of physical dormancy. However, Global Biodiversity Information Facility (GBIF) is highly biased.

# Conundrum 4

Dormancy-cycling/sub-division

# Subdivision of physical dormancy





Conceptual model showing PY seeds dispersed at different levels due to the variation in maternal environment drying seeds to different moisture content. Disentangling dormancy-cycling and sensitivity-cycling.



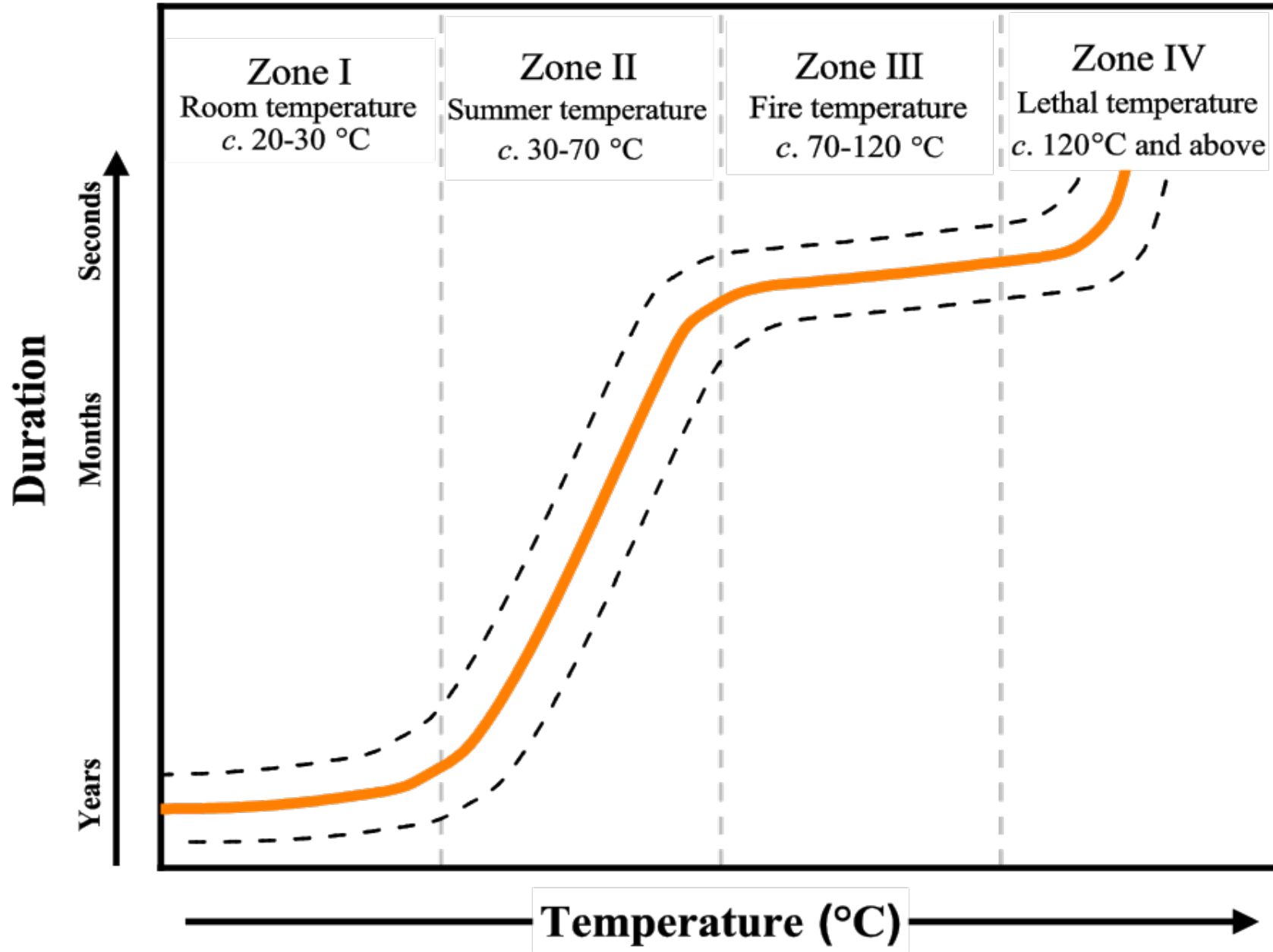
# Resolving Conundrum 4

Dormancy-cycling, which is the change in permeable to impermeable state (and impermeable to permeable state), is different from sensitivity-cycling, where in impermeable nature of the coat is maintained but the seeds cycle between a dormancy-breaking state and an insensitive state.

This certainly needs more detailed studies.

# Towards clarity

Dormancy breaking and moisture content



Conceptual model showing the relationship between duration required for physical dormancy break at different temperatures. The dashed lines signify considerable variation between and within species resulting from moisture content differences.

Moisture content plausibly (definitely) interacts with temperature explaining why some seeds come out of dormancy

## **Influence of maternal environment in developing different levels of physical dormancy and its ecological significance**

**Ganesh K. Jaganathan**

**Journal of Ecology**

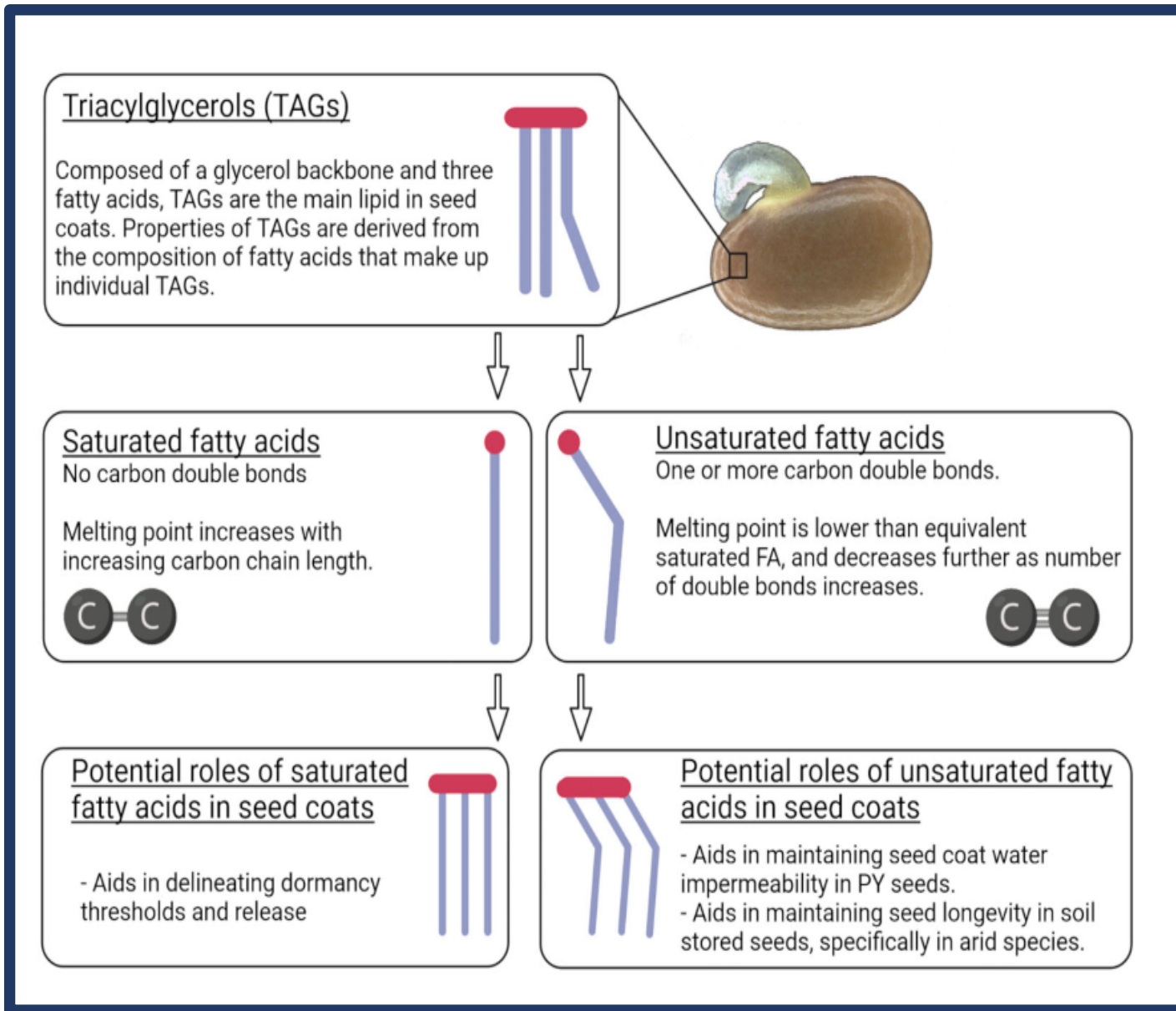


RESEARCH ARTICLE |  [Free Access](#)

## **Seed moisture content as a primary trait regulating the lethal temperature thresholds of seeds**

Ryan Tangney , David J. Merritt, Joseph B. Fontaine, Ben P. Miller

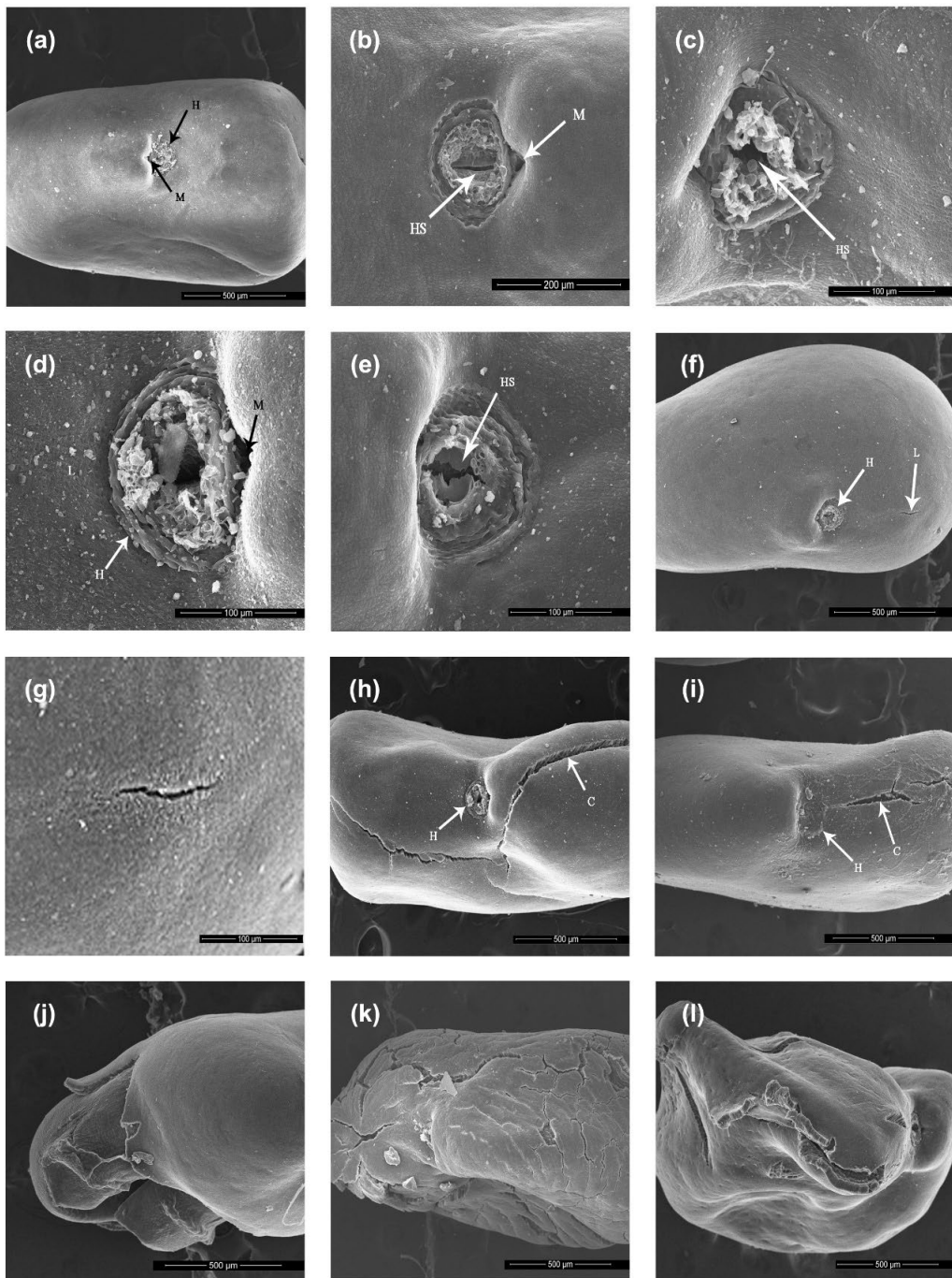
Seeds with elevated moisture contents have lower lethal temperature thresholds, leading to increased seed mortality during fire events when seeds (and soils) are moist. Thermal tolerance varied among coexisting species within this fire-prone system.



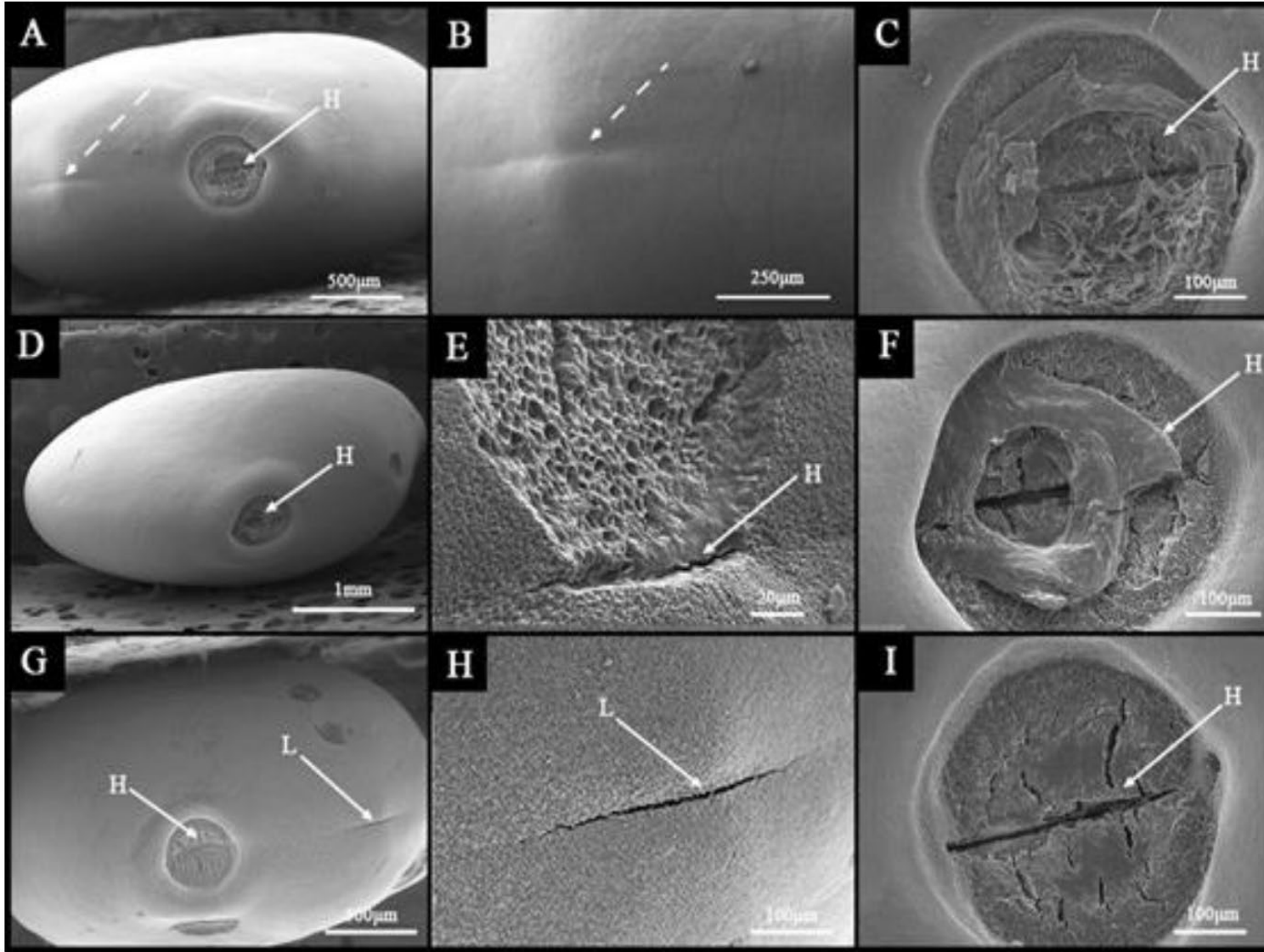
The hydrolysis of lipids to fatty acids in the seed coat requires free water.

Jaganathan and Harrison, unpubl.





- Water-gap opens only during seasonal temperature fluctuation and fire
- Dry and wet heat opens water-gap similar to fire.
- Other natural and empirical factors such as acid treatment or liquid nitrogen mostly result in seed coat cracks.
- Whether the water-gap can open at room temperature storage is unknown.
- Why some species have two water-gaps (water-gap complex) and others have only one remains a mystery. It may vary between treatment.

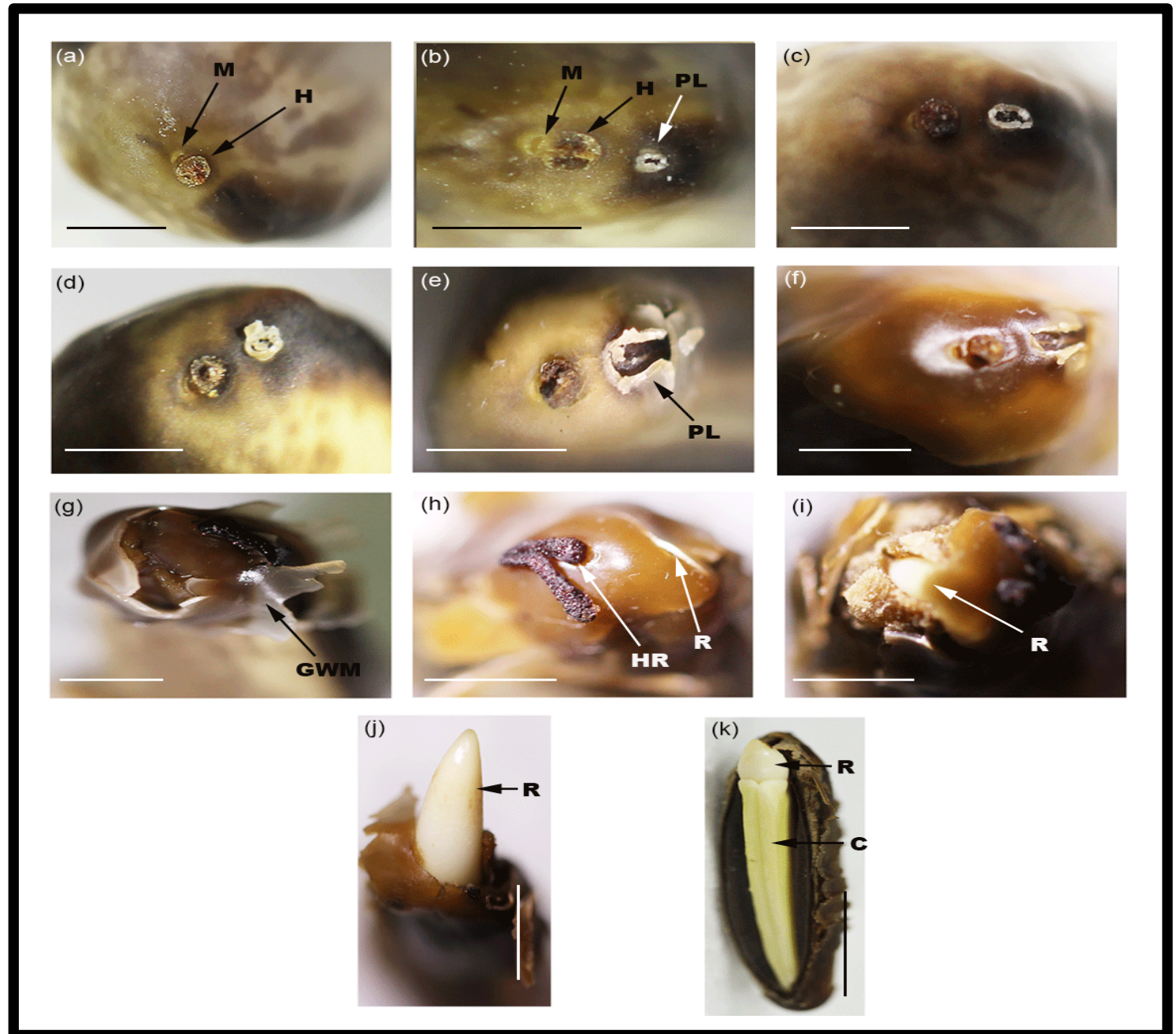


Scanning electron micrograph of *Glycyrrhiza uralensis* seeds after treating with concentrated sulfuric acid for 30 minutes, control seeds (A); enlarged lens area (B); seed hilum enlarged (C); after 45 minutes of concentrated sulfuric acid treatment, whole seed (D); seed lens area enlarged (E) and seed hilum enlarged (F); After 60 min of conc. Sulfruic acid treatment, the whole seeds (G), crack in lens area (H), enlargement of hilum (I); L, lens; H, hilum

Jaganathan unpubl.



Seed coat morphology of a dormant seed (a); immediately after dipping in hot-water treatment (b); after 12 h (c), 24 h (d), 32 h (e), 48 h (f), 60 h (g), 72 h (i), 80 h (j) into germination; cross section of 80 h germinated seeds (k). C, cotyledons; GWM, glue like white matter; H, hilum; HR, hilar region; M, micropyle; L, lens; R, radicle. Scale – 5 mm.



Because moisture content is an important component, future studies are expected to study this...

But, how to determine moisture content in physical dormant species

Previously, several studies have used

130 °C for 4 hours

130 °C for 2 hours

105 °C for 6 hours

105 °C for 72 hours

105 °C for 24 hours

130–133 °C for 1 hour

105 °C for 12 hours

103 °C for 17 hours

80 °C for 24 hours

**Most PY seeds will open the water-gap or result in seed coat crack within a few minutes after exposed to fire-like temperature**

## SUMMARY: 1

- (1) Climate drying during the middle Eocene or earlier is proposed as the driving force for physical dormancy evolution
- (2) Adaptation to fire, endozoochory, and protection during persistence are more likely the by-products.
- (3) Maternal environments leading to moisture content determine the state of seeds at dispersal- permeable or impermeable
- (4) Seeds with impermeable coats are more common in the dry tropical ecosystem, but wet ecosystems select desiccation-sensitive seeds

## SUMMARY: 2

(5) Moisture content variation within a seed lot explains why some seeds break dormancy, but others do not at a given temperature.

(6) Dormancy-cycling and sensitivity-cycling are two different things; yet empirical evidence is still lacking on a wide range of species.

(7) Fatty acid is proposed to be involved in dormancy-breaking, but more studies are required.



Many thanks to...

Brilliant students

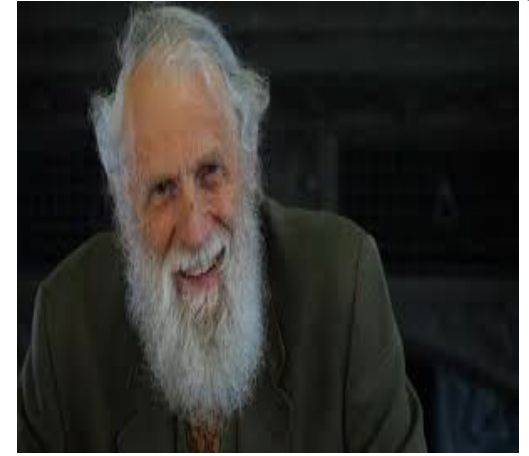


Collaborators, teachers, seed collectors and professors all over the world



# Thank you

## Questions?



“In an informal and unpublished presentation to the British Ecological Society in 2004, Peter Grubb expressed some dissatisfaction that progress in ecology was often hampered by our failure to reject wrong ideas and faulty interpretations. I agree with this conclusion and suggest that this problem is, in part, a consequence of specialization and fragmentation of ecological research. In the absence of agreed protocols and overarching theory, Ecology with its numerous subdisciplines, can sometimes resemble an amorphous, postmodern hotel or rabbit warren with separate entrances, corridors and rooms that safely accommodate the irreconcilable.”

Grime (2007) J of Ecol.